

FROI/SROI Transaction Sequencing Rules

Date: April 5, 2017

First Reports of Injury and Subsequent Reports of Injury (FROI/SROI) data, the Workers' Compensation Information System (WCIS) periodically issues training bulletins outlining some of the most common errors and procedural oversights. An [archive](#) of the bulletins is available.

The impact of the recent relaxation of transaction sequencing rules for the Reinstatement of Benefits (RB)

Following the feedback received from trading partners, WCIS relaxed the sequencing rule that requires a suspension transaction be accepted prior to a reinstatement of benefit transaction. The relaxation leaves the following FROI/SROI transaction sequencing rules in place.

- Only FROI Original (00), Denial (04) and Acquired Unallocated (AU) Maintenance Type Codes (MTC) can establish a claim in WCIS
- A SROI must be preceded by an accepted FROI.
- The SROI Acquired Payment (AP) must be preceded by an accepted FROI Acquired Unallocated (AU).
- ~~The Reinstatement of Benefit (RB), must be preceded by a Suspension (SJ, S1-S9) or Partial Suspension of benefits (PJ, P1-P9).~~
- The SROI Change Amount (CA), Change Benefit (CB), Partial Suspension (PJ, P1-P9), Suspension (SJ, S1-S9), Reinstatement of Benefit (RB), Reduced Earnings (RE), Change (02), or Correction (CO) must be preceded by a previously accepted SROI.
- Only one SROI Initial Payment (IP) is allowed per claim.

For more information on the transaction sequencing rules, please see the [California EDI Implementation Guide for FROI/SROI version 3.0](#) (Section M, pages 77-82).

WCIS trading partner contacts:

Trading partner letters A, C, G, I-L, S-V, Z

Johnny Lee email: jblee@dir.ca.gov

Trading partner letters B, D-F, H, M-R, W-Y

Elisema Cantu email: ecantu@dir.ca.gov

Contents of this bulletin do not change existing regulatory requirements; they provide additional or revised detail about the technical implementation of those requirements. All technical changes will subsequently be reflected in other WCIS documentation. Any bulletin can be forwarded as needed, but should be forwarded in its entirety and without modification. If you have received a forwarded copy of this bulletin and are concerned about its authenticity, you can view this and previous bulletins on our WCIS web site: <http://www.dir.ca.gov/dwc/wcis.htm>.

Format: This WCIS Training Bulletin is sent in HTML format, and is best viewed in that format. Consult your e-mail application's help files or your computer support unit for assistance in viewing HTML-formatted e-mail.

Distribution: Subscribe to the WCIS Training Bulletins at <http://www.dir.ca.gov/email/listsub.asp?choice=1>.